

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter Schroeder et al.

Art Unit: 2671

Serial No.: 09/658,214

Examiner : Adam Arnold

: September 8, 2000

Title: COMPRESSION OF 3D SURFACES USING PROGRESSIVE GEOMETRY

#### MAIL STOP PETITION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# PETITION TO CORRECT INVENTORSHIP UNDER 37 CFR §1.48(a)

Pursuant to 37 CFR §1.48(a), applicants hereby petition to correct inventorship on the above-identified patent application. An error, which occurred without deceptive intent on the part of the person who was not named as an inventor, is hereby corrected.

As filed, the application for patent named Peter Schroeder as the sole inventor. Through error and without any deceptive intent on the part of Wim Sweldens and Andrei Khodakovsky, the application for the above-identified patent was filed without naming Wim Sweldens and Andrei Khodakovsky as co-inventors with Peter Schroeder.

In support of this petition, the following documents are filed herewith:

- (1) Declaration of Wim Sweldens;
- (2) Declaration of Andrei Khodakovsky;

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Signature

Carroll Allman

Typed or Printed Name of Person Signing Certificate

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Applicant : Peter Schroeder et al. Attorney's Docket No.: 06618-

Serial No. : 09/658,214

Filed : September 8, 2000

Page : 2 of 2

(3) Consent of Assignee Lucent Technologies, Inc. to the correction of inventorship;

- (4) Consent of Assignee California Institute of Technology to the correction of inventorship;
- (5) Inventors' Declaration signed by Peter Schroeder, Andrei Khodakovsky, and Wim Sweldens;
  - (6) Assignment signed by Peter Schroeder
  - (7) Assignment signed by Wim Sweldens;
  - (8) Assignment signed by Andrei Khodakovsky;
- (9) A check in the amount of \$130.00 for payment of the fee under 37 CFR §1.20(b).

Accordingly, it is proper to name Peter Schroeder, Andrei Khodakovsky, and Wim Sweldens as co-inventors on this patent application. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: January 27, 2005

Scott C. Harris Req. No. 32,030

By John F. Conroy Reg. No. 45,485

579001 / CIT3148

Fish & Richardson P.C.
USPTO Customer No. 20985
12390 El Camino Real
San Diego, California 92130
Telephone: (858) 678-5070
Facsimile: (858) 678-5099

D03



Attorney's Docket No.: 06618-579001 / CIT3148

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter Schroeder et al. Art Unit :

Art Unit: 2671

Serial No.: 09/658,214

Examiner: Adam Arnold

Filed : September 8, 2000

Title : COMPRESSION OF 3D SURFACES USING PROGRESSIVE GEOMETRY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# PETITION TO CORRECT INVENTORSHIP INVENTOR'S DECLARATION UNDER 37 CFR \$1.48

I, WIM SWELDENS, hereby declare:

- 1. I am a co-inventor of the invention currently claimed in the above-identified patent application.
- 2. At the time the invention was made, I was an employee of Lucent Technologies, Inc. having a place of business at 600 Mountain Ave., Murray Hill, N.J. 07974.
- 3. Through error, the above-identified application was filed naming Peter Schroeder as the sole inventor and without naming me as a co-inventor.
- 4. This error of failing to name me as a co-inventor occurred without any deceptive intent on my part.

#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

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Date of Deposition 27, 2005

Signature

Typed or Printed Name of Person Signing Certificate

Applicant: Peter Schroeder et al. Attorney's Dkt No.:06618-579001/CIT3148

Serial No.: 09/658,214

Filed : September 8, 2000

Page: 2 of 2

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

11/30/2004

WIM SWELDENS

29 Morehouse Pl.

New Providence, N.J. 07974

Title:

Fish & Richardson P.C. 12390 El Camino Real

San Diego, California 92130 Telephone: (858) 678-5070

Facsimile: (858) 678-5099

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter Schroeder et al.

Art Unit :

2671

Serial No.: 09/658,214

Examiner :

Adam Arnold

Filed : September 8, 2000

Title : COMPRESSION OF 3D SURFACES USING PROGRESSIVE GEOMETRY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# PETITION TO CORRECT INVENTORSHIP INVENTOR'S DECLARATION UNDER 37 CFR §1.48

- I, ANDREI KHODAKOVSKY, hereby declare:
- 1. I am a co-inventor of the invention currently claimed in the above-identified patent application.
- 2. At the time the invention was made, I was an employee of California Institute of Technology, a non-profit organization having a place of business at: 1200 East California Boulevard, Pasadena, CA 91125.
- 3. Through error, the above-identified application was filed naming Peter Schroeder as the sole inventor and without naming me as a co-inventor.
- 4. This error of failing to name me as a co-inventor occurred without any deceptive intent on my part.

#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

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Date of Deposition Carroll Allman

Typed or Printed Name of Person Signing Certificate

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Applicant: Peter Schroeder et al. Attorney's Dkt No.:06618-579001/CIT3148

Serial No.: 09/658,214

Filed : September 8, 2000

Page : 2 of 2

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 01/14/2005

ANDRET KHODAKOVSKY

2200 Monroe St. Apt 1507 Santa Clara, CA 95050

Fish & Richardson P.C. 12390 El Camino Real

San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (858) 678-5099



Attorney's Docket No.: 06618-579001 / CIT3148

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter Schroeder et al. Art Unit : 2671

Serial No.: 09/658,214 Examiner: Adam Arnold

Filed : September 8, 2000

Title : COMPRESSION OF 3D SURFACES USING PROGRESSIVE GEOMETRY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## CONSENT OF ASSIGNEE UNDER 37 CFR \$1.48 & \$3.73(B)

I am a Managing Corporate Counsel for Lucent Technologies, Inc., an assignee of the above-identified patent application. am authorized to act on behalf of Lucent Technologies, Inc. in this matter. On behalf of Lucent Technologies, Inc., I hereby agree to the change of inventorship on the above-identified patent application, so that Mr. Wim Sweldens, Mr. Andrei Khodakovsky, and Mr. Peter Schroeder are named as joint inventors. The assignment from Mr. Sweldens and Mr. Schroeder was recorded in the Patent and Trademark Office at Reel/Frame number 012755/0221, on May 31, 2002. A copy of this assignment is submitted herewith. An assignment from Mr. Khodakovsky is also submitted herewith.

#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR \$1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Carroll Allman

Typed or Printed Name of Person Signing
Certificate

Applicant: Peter Schroeder et al. Attorney's Dkt No.: 06618-579001/CIT3148

Serial No.: 09/658,214

Filed : September 8, 2000

Page : 2 of 2

Respectfully submitted,

Date:

Name: Christopher N. Malvone

Title: Managing Corporate Counsel Lucent Technologies, Inc.

Fish & Richardson P.C. 12390 El Camino Real

San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (858) 678-5099

# CERTIFICATE

I, William R. Carapezzi, Jr., do hereby certify that Christopher Malvone is authorized to execute certain documents relating to patents, utility models, designs and trademarks on behalf of Lucent Technologies Inc. of 600 Mountain Avenue, Murray Hill, New Jersey, 07974 U.S.A.

	Lucent Technologies Inc.
	(Corporation Name)
*	by Van Manuel
	(Signature)
	William R. Carapezzi, Jr. (Name of Representative)
	Senior Vice President, General Counsel, and Secretary
	(Title of Representative)
	DATE: 11/30/04
Signed before me:	
NOTARY SEAL	Taula Manhe Notary Public ()
	DATE: Kinnember 30, 2004

PAULA MÁNZO Notary Public State of New Jersey



Attorney's Docket No.: 06618-579001 / CIT3148

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter Schroeder et al. Art Unit : 2671

Serial No.: 09/658,214 Examiner: Adam Arnold

Filed : September 8, 2000

: COMPRESSION OF 3D SURFACES USING PROGRESSIVE GEOMETRY

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

#### CONSENT OF ASSIGNEE UNDER 37 CFR §1.48 & §3.73(B)

I am Intellectual Property Counsel to the California Institute of Technology, an assignee of the above-identified patent application. I am authorized to act on behalf of the California Institute of Technology in this matter. On behalf of the California Institute of Technology, I hereby agree to the change of inventorship on the above-identified patent application, so that Mr. Wim Sweldens, Mr. Andrei Khodakovsky, and Mr. Peter Schroeder are named as joint inventors. assignment from Mr. Sweldens and Mr. Schroeder was recorded in the Patent and Trademark Office at Reel/Frame number 012755/0221, on May 31, 2002. A copy of this assignment is submitted herewith. An assignment from Mr. Khodakovsky is also submitted herewith.

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Date of D

Signatur

Allman xroll Printed Typed or Name of Person Certificate

Applicant: Peter Schroeder et al.

Serial No.: 09/658,214

Filed : September 8, 2000

Page : 2 of 2

Attorney's Dkt No.: 06618-579001/CIT3148

Respectfully submitted,

Date: Lunary 14, 2005

ADAM COCHRAN, ESQ.

Intellectual Property Counsel

Fish & Richardson P.C. 12390 El Camino Real

San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (858) 678-5099



Aπorney's Docket No.: 06618-579001 Client's Ref. No.: CIT3148

Status

Abandoned

As a below named inventor, I hereby declare that:

U.S. Serial No.

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled <u>COMPRESSION OF 3D SURFACES USING PROGRESSIVE</u>
<u>GEOMETRY</u>, the specification of which:

[X] was filed on <u>September 8, 2000</u> as Application Serial No. <u>09/658,214</u> and was amended on <u>December 2, 2004</u>.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under Title 35, United States Code, §119(e)(1) of any United States provisional application(s) listed below:

Filing Date

I hereby declare that all statements made herein of my own knowledge are true and that all statements made

that willful false stateme	of are believed to be true; and further that these stents and the like so made are punishable by fine of United States Code and that such willful false states issued thereon.	r imprisonment, or both,	under Section
Full Name of Inventor:	PETER SCHROEDER		Inlas
Inventor's Signature: Residence Address:	Altaderia, CA	Date:	10/02
Citizenship:	USA		r
Post Office Address:	160 Jaxine Drive		
Tost Office Address.	Altadena, CA 91001		
Full Name of Inventor:	WIM SWELDENS		
Inventor's Signature:		Date:	
Residence Address:	New Providence, NJ		
Citizenship:	USA		,
Post Office Address:	29 Morehouse Pl.		•
	New Providence, NJ 07974		
Full Name of Inventor:	ANDREI KHODAKOVSKY		·
Inventor's Signature:		Date:	
Residence Address:	Santa Clara		
Citizenship:	Russia		
Post Office Address:	2200 Moruoe St. Apt 1507		

2200 Monroe St. Apt 1507 Santa Clara, CA 95050

Attorney's Docket No.: 06618-579001 Client's Ref. No.: CIT3148

Status

As a below named inventor, I hereby declare that:

U.S. Serial No.

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**Filing Date** 

60/176,155	01/14/00	Diatus
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that willful false stateme	re that all statements made herein of my own knowled ef are believed to be true; and further that these state ents and the like so made are punishable by fine or i United States Code and that such willful false statements issued thereon.	ements were made with the knowledge
Full Name of Inventor:	PETER SCHROEDER	
Inventor's Signature: Residence Address: Citizenship: Post Office Address:	Altadena, CA USA 160 Jaxine Drive Altadena, CA 91001	Date:
Full Name of Inventor: Inventor's Signature: Residence Address: Citizenship: Post Office Address:	New Providence, NJ Belgium 29 Morehouse Pl. New Providence, NJ 07974	Date: 1/13/2005
Full Name of Inventor:	ANDREI KHODAKOVSKY	
Inventor's Signature: Residence Address: Citizenship: Post Office Address:	Santa Clara Russia 2200 Monroe St. Apt 1507 Santa Clara, CA 95050	Date:

Attorney's Docket No.: 06618-579001

Abandoned

Client's Ref. No.: CIT3148

### **DECLARATION**

As a below named inventor, I hereby declare that:

U.S. Serial No.

60/176,155

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled <u>COMPRESSION OF 3D SURFACES USING PROGRESSIVE</u> <u>GEOMETRY</u>, the specification of which:

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01/14/00

Santa Clara, CA 95050

Filing Date

on information and belie	e that all statements made herein of my own king are believed to be true; and further that these onts and the like so made are punishable by find Juited States Code and that such willful false sets issued thereon.	statements were made with the less or imprisonment, or both, under	nowledge Section
Full Name of Inventor:	PETER SCHROEDER		
Inventor's Signature:		Date:	
Residence Address:	Altadena, CA		
Citizenship:	USA		
Post Office Address:	160 Jaxine Drive		
	Altadena, CA 91001		
Full Name of Inventor:	WIM SWELDENS		
Inventor's Signature:		Date:	
Residence Address:	New Providence, NJ		
Citizenship:	USA		
Post Office Address:	29 Morehouse Pl.		
	New Providence, NJ 07974		
Full Name of Inventor:	ANDREI KHODAKOVSKY	·····	
Inventor's Signature:	2	Date: 01/1	0/2003
Residence Address:	Santa Clara		7
Citizenship:	Russia		
Post Office Address:	2200 Monroe St. Apt 1507		

# **ASSIGNMENT**

For valuable consideration, I, PETER SCHROEDER of Yasadha, hereby assign to: California Institute of Technology, a non-profit organization having a place of business at: 1200 East California Boulevard, Pasadena, CA 91125; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled COMPRESSION OF 3D SURFACES USING PROGRESSIVE GEOMETRY, filed September 8, 2000, and assigned U.S. Serial Number 09/658,214, and I authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignce to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

DATE

PETER SCHROEDER

#### **ASSIGNMENT**

For valuable consideration, I, WIM SWELDENS of New Roudence, hereby assign to: Lucent Technologies, Inc., a corporation having a place of business at: 600 Mountain Avenue, Murray Hill, NJ 0/974; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled COMPRESSION OF 3D S JRFACES USING PROGRESSIVE GEOMETRY, filed September 8, 2000, and assigned U.S. Serial Number 09/658,214, and I authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal repredentatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the As ignee may reasonably request to effectuate fully this assignment.

DATE: 5/28/02

WIM SWELDENS

Attorney Docket No: 06618/579001/CIT3148

## **ASSIGNMENT**

For valuable consideration, I, ANDREI KHODAKOVSKY of Santa Clara, CA, hereby assign to: California Institute of Technology, a non-profit organization having a place of business at: 1200 East California Boulevard, Pasadena, CA 91125; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled COMPRESSION OF 3D SURFACES USING PROGRESSIVE GEOMETRY, filed September 8, 2000, and assigned U.S. Serial Number 09/658,214, and I authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

DATE: 01/10/2005

ANDREI KHODAKOVSKY

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